

807 3094-30
DEP/REF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Best Available Copy

Applicant: Yukuo Katayama

Serial No.: 09/851,700

Art Unit: 1764

Filed: May 8, 2001

Examiner: Basia Anna Ridley

For: METHOD FOR THE GASIFICATION OF COAL

Customer No.: 29540

REQUEST FOR REFUND

Mail Stop 16
Director of the US Patent and Trademark Office
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant submits herewith a request for refund for the amount of two thousand one hundred sixty dollars (\$2,160.00) for the above-identified application.

I hereby certify that this correspondence is being deposited
with the United States Postal Service as Express mail in
an envelope addressed: Commissioner for Patents,
P.O. Box 1450, Alexandria, VA 22313-1450 on April 12, 2005

Liza Negron

Attorney

Liza Negron
Signature

April 12, 2005

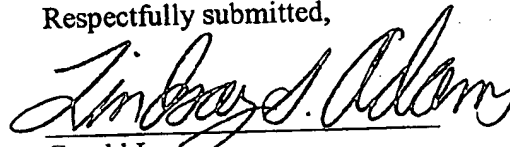
Date of Signature

The Commissioner is hereby authorized to charge any additional
fees which may be required, or credit any overpayment to
Account No. 50-1145.

1286416A01041205

In addition, Applicant respectfully submits there was detrimental reliance on the Examiner's statement that the time period on the office action could be reset as long as the requisite documentation was submitted. Because of the U.S.P.T.O. error in failing to diligently process the change of address spreadsheet filed on May 25, 2004 and Applicant's reliance on the Examiner's authority, Applicant respectfully requests that the fee of \$2,160.00 paid for petitioning for an extension of time be refunded to Applicant's Deposit Account No. 50-1145.

Respectfully submitted,



Gerald Levy
Registration No. 24,419

Lindsay S. Adams
Registration No. 36,426

Liza Negron
Registration No. 45,731

Attorneys for Applicant

Pitney Hardin LLP
7 Times Square
New York, New York 10036-7311
212-297-5800



807
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2005 APR 12 PM 2:25

Applicant: Yukuo Katayama

Serial No.: 09/851,700

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Liza Negron

Attorney

Signature

April 12, 2005

Date of Signature

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 50-1145.

On May 25, 2004, Applicant's representative submitted a change of address with the Electronic Business Center of the United States Patent and Trademark Office (U.S.P.T.O.) requesting that the address corresponding to Customer No. 29540 be changed to 7 Times Square, New York, NY 10036. A spreadsheet was filed detailing the patents and patent applications subject to this change. A copy of this spreadsheet is attached as Exhibit A. The present application is highlighted on the spreadsheet. Approximately one month after the submission Applicant's representative, Lindsay S. Adams, contacted the Electronic Business Center (EBC) to inquire as to when the upload would occur. Applicant's representative was informed that the EBC was experiencing a severe backlog and that it may be several months before the upload is made to our customer number.

On or about September 16, 2004, an office action was mailed to 711 Third Ave., New York, NY 10036. The office action was never received due to Applicant's change of address. A copy of the office action was obtained from public Patent Application Information Retrieval (PAIR) and is attached as Exhibit B.

The Examiner on the case, Examiner Basia Anna Ridley, was contacted. Examiner Ridley stated that she had spoken to her supervisor and what was needed was a letter or note stating that we have looked for the office action and that we did not receive it. In addition, we would need to provide evidence, e.g., change of address spreadsheet. The documents could then be faxed to (571) 273-1453. Based on this, the time period on the office action could be reset. We followed the Examiner's instructions and faxed a Request to Restart Time Period on March 2, 2005. A copy of the Request is attached as Exhibit C.

On March 9, 2005, Examiner Ridley was contacted to confirm the receipt of the fax and to check the status of the resetting of the time period on the office action. Applicant's

representative, Liza Negrón was told that the Examiner had misspoken and that the time period could not be reset. The Examiner stated that at this point Applicant should wait for a Notice of Abandonment and then file a Petition to Withdraw Abandonment as authorized by MPEP §711.03(c). Applicant believed that the legal basis for this suggested strategy was questionable and decided to pursue another course of action.

On March 11, 2005, Applicant contacted the EBC at the U.S.P.T.O. to determine the status of the change of address. Applicant was told that the change of address spreadsheet submitted by the Applicant on CD-Rom was corrupted and the data on it could not be accessed. Applicant was asked to re-submit the change of address spreadsheet via e-mail to ebc@uspto.gov to the attention of Ms. Karen McClain. Applicant's representative, Liza Negrón, submitted an e-mail including the excel spreadsheets for the copy of the customer number upload. A copy of the print out of the sent e-mail is attached as Exhibit D. Ms. Karen McClain, the EBC representative, promptly resolved the matter and forwarded a letter verifying the original CD-Rom was received by the EBC on May 26, 2004. A copy of the letter verifying receipt of the CD-Rom is attached as Exhibit E.

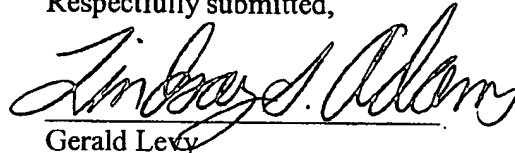
The letter acknowledging receipt of the CD-Rom states that the EBC attempted to contact Applicant's representative, Lindsay S. Adams, about the corrupted CD-Rom. Applicant's representative was supposedly contacted via e-mail at the provided e-mail address: ladams@pitneyhardin.com. However, Applicant's representative never received such an e-mail and has confirmed this fact with an inspection of his inbox. Thus, Applicant's representative never received any indication that the CD-Rom was corrupted until March 11, 2005.

On March 14, 2005, Applicant filed a response to the Office Action and a petition for a five-month extension of time along with the associated fee pursuant §1.17(a). The fee for said petition was two thousand one hundred sixty dollars (\$2,160.00).

Applicant respectfully submits that the U.S.P.T.O. erred in using the incorrect correspondence address after Applicant timely filed a change of address form. The new correspondence address on the change of address form should have been used instead of the previously listed address. Furthermore, had the U.S.P.T.O promptly advised the Applicant that the submitted change of address CD-Rom was damaged, Applicant would have immediately re-submitted CD-Rom with the excel spreadsheet files.

In addition, Applicant respectfully submits there was detrimental reliance on the Examiner's statement that the time period on the office action could be reset as long as the requisite documentation was submitted. Because of the U.S.P.T.O. error in failing to diligently process the change of address spreadsheet filed on May 25, 2004 and Applicant's reliance on the Examiner's authority, Applicant respectfully requests that the fee of \$2,160.00 paid for petitioning for an extension of time be refunded to Applicant's Deposit Account No. 50-1145.

Respectfully submitted,



Gerald Levy
Registration No. 24,419

Lindsay S. Adams
Registration No. 36,426

Liza Negrón
Registration No. 45,731

Attorneys for Applicant

Pitney Hardin LLP
7 Times Square
New York, New York 10036-7311
212-297-5800



Sample Cover Letter (02-03)

**Customer Number
Batch Update
Cover Letter**

Address to:

Mail Stop EBC
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

Dear Commissioner for Patents:

Please associate the customer number: 29540
with the correspondence address or the fee address, as shown on the
attached spreadsheet.

For each application listed on the attached spreadsheet, I am:

Select one:

☐

The Inventor and there is no registered patent attorney or agent of
record.

☒

An Attorney or Agent of Record

Typed or printed name, signature and Registration Number (if any):

Printed Name / Signature	Registration Number
Gerald Levy <i>[Signature]</i>	24,419
Lindsay S. Adams <i>[Signature]</i>	36,425

*Note: Signatures of (1) all of the applicants or, if deceased, their legal representative(s), or (2)
a registered patent attorney or agent of record are required.*

Date: 5/25/04 Telephone Number: 212-297-5800

Recognizing that Internet communications are not secure, I hereby authorize the USPTO to
communicate with me concerning any subject matter of this customer number update request
by electronic mail. I understand that a copy of the confirmation of the change of address, but
not the e-mailed confirmation, will be made of record in the application file(s).

E-mail Address: ladams@pitneyhardin.com

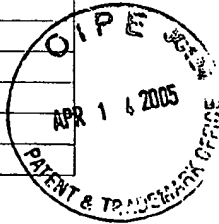
Customer Number: 29540

< Enter six digit Customer Number

Customer Number Upload Spreadsheet

NOTE: Bold X's indicate where data is entered. You can enter data for each application or patent starting on line 16. Insert as many additional rows as required immediately below row 16 in order to add more application or patent updates. Do not skip rows between entries.

Pitney Hardin LLP
7 Times Square
New York, NY 10036-7311



Rows 3 through 9 are for entering customer address.

Note: Customer Number and address are only displayed on page one.

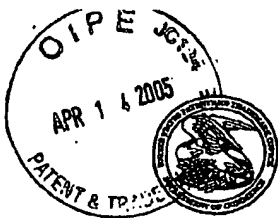
Use no headers or titles on subsequent pages.

Patent Number	Application Number	Patent Date	Filing Date	Change Correspondence Address?	Blank	Change Fee Address?
(7 Digits w/o ",")	(8 digit w/o "F" or ",")	mm/dd/yy	mm/dd/yy	yes/no		yes/no
				YES or NO		YES or NO
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	10822186		04/09/04	Yes		Yes
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	10822369		04/12/04	Yes		Yes
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	10414617		04/16/03	Yes		Yes
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	10296214		05/28/01	Yes	Yes
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6396627	09584943	05/28/02	06/01/00	Yes	Yes
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6644461	10009901	11/11/03	06/03/02	Yes	Yes
6050606	09090079	04/18/00	06/03/98	No	No
6361042	09089566	03/26/02	06/03/98	Yes	Yes
6102766	09254815	08/15/00	06/03/99	No	No
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6554676	10070045	04/29/03	06/04/02	No	No
5171376	07710528	12/15/92	06/04/91	Yes	Yes
DES353765	29009068	12/27/94	06/04/93	Yes	Yes
5747117	08869130	05/05/98	06/04/97	Yes	Yes
6148097	08658549	11/14/00	06/05/96	No	No
5855411	08868866	01/05/99	06/05/97	Yes	Yes
	10070716		06/06/02	Yes	Yes
4880659	07202680	11/14/89	06/06/88	Yes	Yes
6324724	09588931	12/04/01	06/07/00	No	No



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/851,700	05/08/2001	Yukuo Katayama	3094-30	3520

7590 09/16/2004
PITNEY, HARDIN, KIPP & SZUCH LLP
711 Third Avenue
New York, NY 10017-4059

EXAMINER

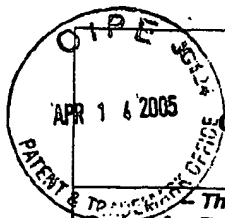
RIDLEY, BASIA ANNA

ART UNIT PAPER NUMBER

1764

DATE MAILED: 09/16/2004

Please find below and/or attached an Office communication concerning this application or proceeding.



Office Action Summary

Application No.

09/851,700

Applicant(s)

KATAYAMA, YUKUO

Examiner

Basia Ridley

Art Unit

1764

The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 08 May 2001.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-12 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☐ Claim(s) _____ is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☒ Claim(s) 1-12 are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

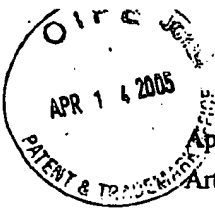
Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____



Application/Control Number: 09/851,700
Art Unit: 1764

Page 2

DETAILED ACTION

Election/Restrictions

1. This application contains claims directed to the following patentably distinct species of the claimed invention:

Species A as recited in claims 1-6 and 11-12;

Species B as recited in claims 7-10.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, none of the claims appears to be generic.

Applicant is advised that a reply to this requirement must include an identification of the species that is elected consonant with this requirement, and a listing of all claims readable thereon, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

Should applicant traverse on the ground that the species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the

Art Unit: 1764

examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

2. A telephone call was made to Mr. Gerald Levy on 14 September 2004 to request an oral election to the above restriction requirement, but did not result in an election being made.

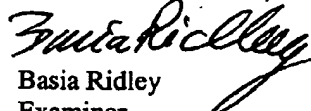
Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to examiner Basia Ridley, whose telephone number is (571) 272-1453.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Glenn Caldarola, can be reached on (571) 272-1444.

The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Technical Center 1700 General Information Telephone No. is (571) 272-1700. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Questions on access to the Private PAIR system should be directed to the Electronic Business Center (EBC) at (866) 217-9197 (toll-free).


Basia Ridley
Examiner
Art Unit 1764

BR
September 14, 2004

P. 01

FOR:

DATE	START	RECEIVER	TX TIME	PAGES	TYPE	NOTE	M#	DP
MAR-02	04:28 PM	#58115712731453	2'22"	9	SEND	OK	951	

TOTAL : 2M 22S PAGES: 9



**200 Campus Drive
Florham Park, NJ 07932-0950
Mailing Address:
P.O. Box 1945
Morristown, NJ 07962-1945
Phone # (973) 966-6300
Fax # (973) 966-1015**

**7 Times Square
New York, NY 10036-7311
Phone # (212) 297-5800
Fax # (212) 916-2940**

View Building
Rue de L'Industrie 26-38
B-1040 Bruxelles, Belgium
Phone # 02-514-54-19
Fax # 02-514-16-59

Morristown ☐New York ☐Bruxelles ☐

DATE: March 2, 2005

To	Examiner Basia Anna Ridley	Facsimile Number: 571-273-1453
Company: US Patent & Trademark Office	Phone Number:	
Art Unit: 1764		

From	Phone Number
Lindsay Spencer Adams	212-297-5800

Pitney Hardin LLP

200 Campus Drive
Florham Park, NJ 07932-0950

Mailing Address:

P.O. Box 1945
Morristown, NJ 07962-1945
Phone #(973) 966-6300
Fax # (973) 966-1015

7 Times Square
New York, NY 10036-7311
Phone #(212) 297-5800
Fax # (212) 916-2940

View Building
Rue de L'Industrie 26-38
B-1040 Bruxelles, Belgium
Phone # 02-514-54-19
Fax # 02-514-16-59

Please check the appropriate location below:

Morristown ☐

New York ☐

Bruxelles ☐

DATE: March 2, 2005

To	Examiner Basia Anna Ridley	Facsimile Number: 571-273-1453
Company:	US Patent & Trademark Office	Phone Number:
Art Unit:	1764	

From	Phone Number
Lindsay Spencer Adams	212-297-5800

Client/Matter Number: 503094.094253

Number of pages including transmittal sheets 9

Original will be sent: Overnight Mail ☐ Regular Mail ☐ Will not be sent ☐

Comments:

U.S. Serial No.: 09/851,700, Filed: May 8, 2001

Transmitted herewith is a Request to Restart Time Period

This message is intended only for the use of the addressee and may contain information that is privileged and confidential. If you are not the intended recipient, you are hereby notified that any dissemination of this communication is strictly prohibited. If you have received this communication in error, please notify our Fax Department at (212) 297-5805. Thank you.

FOR SENDER:

If there is a problem transmitting this fax and you are not in the office, should the operator call you at home?

FAX OPERATOR ONLY

Name: _____

Date Sent: _____



Attorney Docket No.: 505094.094253 (3094-30)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yukuo Katayama

Serial No.: 09/851,700

Art Unit: 1764

Filed: May 8, 2001

Examiner: Basia Anna Ridley

For: METHOD FOR THE GASIFICATION OF COAL

Customer No.: 29540

Request to Restart Time Period

Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Sir:

As per our conversation, we provide herewith a request to restart the time period for response to the office action mailed out on September 16, 2004 because the office action was never received.

In May 2004, Applicant filed a change of address with the U.S.P.T.O. requesting that the address corresponding to Customer No. 29540 be changed to 7 Times Square, New York, NY 10036. A spreadsheet was filed detailing the patents and patent applications subject to this change. (Exhibit A) The present application is highlighted on the spreadsheet.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed: Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 2, 2005.

Liza Negron

Attorney

Liza Negron
Signature

March 2, 2005

Date of Signature

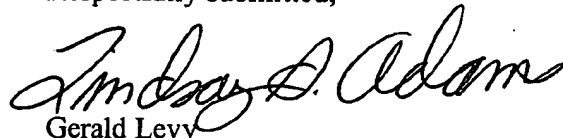
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 50-1145.

1282575A01030205

On September 16, 2004, an office action was mailed to 711 Third Ave., New York, NY 10036. The office action was never received due to Applicant's change of address.

Applicant respectfully requests that the time period for responding to the office action be reset given that the September 16th office action was never received. No fee is deemed necessary in connection with this request. However, if any fee is due, the amount of such fee may be charged to Deposit Account No. 50-1145.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Gerald Levy".

Gerald Levy
Registration No. 24,419

Lindsay S. Adams
Registration No. 36,426

Liza Negron
Registration No. 45,731

Attorneys for Applicant

Pitney Hardin LLP
7 Times Square
New York, New York 10036-7311
212-297-5800

EXHIBIT A



Sample Cover Letter (02-03)

**Customer Number
Batch Update
Cover Letter**

Address to:

Mail Stop EBC
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

Dear Commissioner for Patents:

Please associate the customer number: 29540
with the correspondence address or the fee address, as shown on the
attached spreadsheet.

For each application listed on the attached spreadsheet, I am:

Select one:

☐

The Inventor and there is no registered patent attorney or agent of
record.

☒

An Attorney or Agent of Record

Typed or printed name, signature and Registration Number (if any):

Printed Name / Signature	Registration Number
Gerald Levy <i>[Signature]</i>	24,419
Lindsay S. Adams <i>[Signature]</i>	36,425

*Note: Signatures of (1) all of the applicants or, if deceased, their legal representative(s), or (2)
a registered patent attorney or agent of record are required.*

Date: 5/25/04 Telephone Number: 212-297-5800

Recognizing that Internet communications are not secure, I hereby authorize the USPTO to
communicate with me concerning any subject matter of this customer number update request
by electronic mail. I understand that a copy of the confirmation of the change of address, but
not the e-mailed confirmation, will be made of record in the application file(s).

E-mail Address: ladams@pitneyhardin.com

Customer Number: 29540

< Enter six digit Customer Number

Customer Number Upload Spreadsheet

NOTE: Bold X's indicate where data is entered. You can enter data for each application or patent starting on line 16. Insert as many additional rows as required immediately below row 16 in order to add more application or patent updates. Do not skip rows between entries.

Pitney Hardin LLP
7 Times Square
New York, NY 10036-7311

Rows 3 through 9 are for entering customer address.

Note: Customer Number and address are only displayed on page one.

Use no headers or titles on subsequent pages.

Patent Number	Application Number	Patent Date	Filing Date	Change Correspondence Address?	Blank	Change Fee Address?
(7 Digits w/o ".")	(8 digit w/o "I" or ".")	mm/dd/yy	mm/dd/yy	yes/no		yes/no
				YES or NO		YES or NO
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6317260	09585647	11/13/01	06/01/00	Yes	Yes
6288838	09585648	09/11/01	06/01/00	Yes	Yes
6592113	09585521	07/15/03	06/01/00	Yes	Yes
6367736	09585850	04/09/02	06/01/00	Yes	Yes
	10452536		06/02/03	Yes	Yes
6143418	09011417	11/07/00	06/02/97	Yes	Yes
6136131	09089238	10/24/00	06/02/98	Yes	Yes
D424725	29105801	05/09/00	06/02/99	Yes	Yes
6644461	10009901	11/11/03	06/03/02	Yes	Yes
6050606	09090079	04/18/00	06/03/98	No	No
6361042	09089566	03/26/02	06/03/98	Yes	Yes
6102766	09254815	08/15/00	06/03/99	No	No
	60385728		06/04/02	Yes	Yes
6554676	10070045	04/29/03	06/04/02	No	No
5171376	07710528	12/15/92	06/04/91	Yes	Yes
DES353765	29009068	12/27/94	06/04/93	Yes	Yes
5747117	08869130	05/05/98	06/04/97	Yes	Yes
6148097	08658549	11/14/00	06/05/96	No	No
5855411	08868866	01/05/99	06/05/97	Yes	Yes
	10070716		06/06/02	Yes	Yes
4880659	07202680	11/14/89	06/06/88	Yes	Yes
6324724	09588931	12/04/01	06/07/00	No	No



-----Original Message-----

From: Negron, Liza
Sent: Friday, March 11, 2005 3:07 PM
To: 'McClain, Karen (PEC)'
Subject: RE: Change of Address Spreadsheet for Customer No. 29540

Ms. McClain,

I apologize for the inconvenience.

I've attached the change of address spreadsheet in excel format. The cover page will be faxed to your attention to (571) 273-0177.

Thanks for your help.

Liza Negron, Esq.

-----Original Message-----

From: McClain, Karen (PEC) [mailto:Karen.McClain@USPTO.GOV]
Sent: Friday, March 11, 2005 2:28 PM
To: Negron, Liza
Subject: Change of Address Spreadsheet for Customer No. 29540

Ms. Negron,

As Bridgette stated, I need the spreadsheet in excel format, not pdf. I cannot process the spreadsheet in pdf format.

Please reply to this email with the .xls attachment and I will process your spreadsheet immediately.

According to our records, we received the spreadsheet on 5/26/04. Apparently it was an issue with the disk and you were emailed to inform of you of the issue at: LADAMS@PITNEYHARDIN.COM.

Thank you and sorry for the inconvenience,

Karen McClain
Patents Electronic Business Center
571.272.7978 - telephone
571.273.0177 - fax
karen.mcclain@uspto.gov

4/12/2005

-----Original Message-----

From: EBC

Sent: Friday, March 11, 2005 1:53 PM

To: McClain, Karen (PEC)

Subject: FW: Attn. KAREN - Change of Address Spreadsheet for Customer No. 29540

-----Original Message-----

From: Negron, Liza [mailto:lnegron@pitneyhardin.com]

Sent: Friday, March 11, 2005 1:45 PM

To: EBC

Subject: Attn. KAREN - Change of Address Spreadsheet for Customer No. 29540

Karen,

I spoke to you this morning about the subject change of address spreadsheet we filed on CD Rom on May 25, 2004. You stated that the spreadsheet was not uploaded because the CD Rom was corrupted and not readable. As you instructed, I am attaching a copy of the spreadsheet in PDF format and the cover page that was submitted with it.

Can you please provide us with a receipt that the original CD Rom was received by the USPTO along with the date of receipt? As you can imagine, due to the long delay in uploading the change of address, we have experienced many difficulties with pending cases.

Please confirm receipt of the attachment. If you need to contact me, I can be reached at (212) 297-5858.

Thank you for your time in this matter.

Liza Negron, Esq.

-----Original Message-----

From: Sorge, Pamela

Sent: Friday, March 11, 2005 12:36 PM

To: Negron, Liza

Subject: PDF of Spread Sheet

<<Change of Address Spread Sheet May 25, 2004.pdf>>

Pamela Sorge

Legal Secretary

Pitney Hardin LLP

7 Times Square

New York, NY 10036

212-297-5800 Ext. 4864

psorge@pitneyhardin.com

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**UNITED STATES PATENT AND TRADEMARK OFFICE**

Commissioner for Patents

Dear Customer,

This is confirmation of receipt of your Customer Number Upload Spreadsheet for Customer Number 29540 on May 26, 2004 by the Electronic Business Center at the USPTO.

The disk, however, was unreadable and we attempted to contact you at the provided email address: ladams@pitneyhardin.com. As of your contact of the EBC via phone on March 11, 2005, we had not received acknowledgement of our email attempt. At that point, you were asked to resubmit the spreadsheet via email and your spreadsheet was processed and the results returned to you via email.

If you have any further questions or need additional information, the Patent EBC can be reached toll free at 866-217-9197 between the hours of 6 am and midnight Monday through Friday EST, by email at: ebc@uspto.gov or at our website at: <http://www.uspto.gov/ebc/index.html>.

Sincerely,

Patent Electronic Business Center

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